

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner of Patents and Trademarks
Alexandria, VA 22313-1450

Attorney's Docket No. 098701/0306329
(ZIVA-002)



TRANSMITTAL FOR NEW PATENT APPLICATION

Transmitted herewith for filing is the Patent Application of:

Anis Husain, Ashok Krishnamoorthy

For: **Current-controlled polarization switching Vertical Cavity Surface Emitting Laser**

ENCLOSURES

- ☒ 23 page application including specification, claims and abstract;
- ☒ 11 sheets of informal drawings;
- ☐ A Declaration, Power of Attorney (Unsigned).
- ☒ A postcard for return to us as proof of receipt of the above documents.

And

- ☐ An Assignment of the invention to and an assignment cover sheet;
- ☐ A Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Applicant Claims Small Entity Status;
- ☐ An associate power of attorney;
- ☐ A certified copy of country patent application number (priority document) is enclosed.

TYPE OF FILING

- ☒ This application claims the benefit of an earlier filed U.S. Patent Application under 35 USC 120.
- ☒ Please accord Applicant the benefit of the priority date of 10/11/02 to this case, pursuant to 35 USC 119, on application serial no. 60/417,884 filed in the U.S. Patent and Trademark Office on said date. Please accord Applicant the benefit of the priority date of 10/10/03 to this case, pursuant to 35 USC 119, on application serial no. not yet assigned filed in the U.S. Patent and Trademark Office on said date.
- ☒ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are incomplete, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. **EV342416838US** addressed to the MAIL BOX: NEW PATENT APPLICATION, Commissioner of Patents & Trademarks, Alexandria, VA 22313-1450 on October 14, 2003.


Cora Baliton

FEE CALCULATION

The filing fee has been calculated as shown below:

| | SMALL ENTITY | OR | OTHER THAN A SMALL ENTITY | |
|----------------------------------------------|--------------|------------|------------------------------|-----------|
| BASIC FEE Design Patent | \$170 | | \$340 | \$ |
| BASIC FEE Utility Patent | \$385 | | \$770 | \$ 385.00 |
| <hr/> | | | | |
| EXTRA FEES | | RATE FEE | RATE FEE | |
| TOTAL CLAIMS _____ MINUS 20 = | | X \$9 = | x \$18 = | \$ |
| INDEP. CLAIMS _____ MINUS 3 = | | 1 X \$43 = | x \$86 = | \$ |
| <input type="checkbox"/> MULTIPLE DEP. CLAIM | | + \$145 = | + \$290 = | \$ |
| <input type="checkbox"/> ASSIGNMENT | | + \$ 40 = | + \$40 = | \$ |
| <input type="checkbox"/> RULE 53 SURCHARGE | | + \$65 = | + \$130 = | \$ |
| <hr/> | | | | |
| TOTAL | | | | \$ 385.00 |

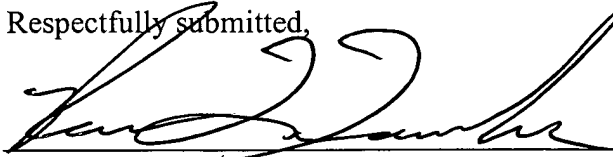
FEE PAYMENT

- ☐ Attached is Check No. _____ in the sum of \$ _____ to cover the basic filing fee.
- ☐ Fee not enclosed.
- ☒ Please charge Account No. 03-3975 the sum of \$ 385.00.

FEE DEFICIENCY

- ☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 03-3975:
- ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
- ☐ Assignment Recordal fees.
- ☐ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. _____.
- ☒ A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Ross L. Franks
Reg. No. 47,233
Customer No. 27,498

Dated: October 14, 2003

PILLSBURY WINTHROP LLP
2550 Hanover Street
Palo Alto, CA 94304-1115
Telephone: (650) 233-4500
Facsimile: (650) 233-4545